



SCI投稿之投稿后作者要更改或增加署名

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文章投稿后因某些原因需要更改或增加署名, 这种情况应该如何谨慎处理呢? 申请了之后, 杂志社是会同意还是会不同意因此拒稿呢? 下面就和大家分享这种情况三类通常的处理方式。

第一类

杂志官网针对更改或增加署名的请求有明确说明需要作者如何处理, 按稿约要求处理即可。如 BMC 系列杂志, 官网里面明确写明“Authors wishing to make changes to authorship will be asked to complete our change of authorship form. Please note that changes to authorship cannot be made after acceptance of a manuscript.” (<https://www.biomedcentral.com/getpublished/editorial-policies#authorship>) 只要有合适的理由, 且所有作者签署完成 Change of authorship form (作者署名变更表), BMC 系列杂志在接受前是可以修改作者信息的。

案例一

方医生(化名)的文稿投稿 *BMC Musculoskeletal Disorders* (ISSN: 1471-2474) 被修回后, 因为

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某些原因要调整作者信息, 删减两位作者, 增加一位作者。因 BMC 系列杂志修改作者按稿约中要求处理即可, 所以修回杂志社之前作者直接填写了一份 Change of authorship form, 里面详细写明了作者变更原因, 所有涉及到的作者也都有签字同意。修回杂志社的时候将该签字文件一并提交给了杂志社, 目前该篇文章已被该杂志接受。

BMC 系列以外也有类似操作的杂志, 如 *Journal of Cellular Biochemistry*。

案例二

李医生(化名)的文稿投稿 *Journal of Cellular Biochemistry* (ISSN: 0730-2312) 被修回后, 作者因为某些原因需要新增一位共同一作, 查看了杂志官网, 关于 Correction to authorship(修改作者信息) 有详细说明: In accordance with Wiley's Best Practice Guidelines on Research Integrity and Publishing Ethics (<https://authorservices.wiley.com/ethics-guidelines/index.html#5>) and the Committee on Publication Ethics' guidance (<https://publicationethics.org/authorship>), *Journal of Cellular Biochemistry* will allow authors to correct authorship on a submitted, accepted, or published article if a valid reason exists to do so. All authors – including those

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案例三

王医生(化名)的文稿投稿 *Journal of Clinical Laboratory Analysis* (ISSN: 0887-8013) 被修回后, 作者因为某些原因文章第二作者需要删掉。由于没有在该杂志网站看到具体修改作者信息的操作, 所以在修回杂志社的时候, 作者在 Cover letter 中做了详细说明, 提交后收到杂志社的信件“Your manuscript has been unsubmitted for the following reasons: It seems that an author has been removed between revisions. Please could you contact us to clarify the reason(s) for the change in authorship. Please also ask all the authors to contact us individually and give their consent to the removal of an au-

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案例四

张医生(化名)的文稿投稿 *Journal of Bioenergetics and Biomembranes* (ISSN: 0145-479X) 修回后, 作者因为某些原因需要新增一位第三作者, 由于没有在该杂志网站看到具体修改作者信息的操作, 所以在修回杂志社的时候, 作者在 Cover letter 中做了详细说明, 提交后收到杂志社的信件“During the initial check we have noticed a discrepancy in the authors list between the previous version and this version. Could you please clarify this change, and also let us know if all co-authors are aware of this? Please fill in the attached form and upload it together with your revision. Please note that all contributing authors should sign the form.” 之后请所有作者按要求签署完成 Change of authorship request form, 系统提交后杂志社没有提出进一步疑问, 但很遗憾该文章因最终审稿意见不太乐观被拒稿了。

第三类

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案例五

刘医生(化名)的文稿投稿 *Annals of Medicine*

(ISSN: 0785-3890) 被修回后, 作者因为某些原因需要新增一位第三作者, 由于没有在该杂志网站看到具体修改作者信息的操作, 所以在修回杂志社的时候, 作者在 Cover letter 中做了详细说明, 但很遗憾文章因此被拒稿了, 杂志社意见 “We received the second-round reviewer comments and read your rebuttal letter together with the revised manuscript. We noticed that you added a new co-author to your manuscript after the first round of peer review process. This lead us to in-house evaluation as well as consultation with the ICMJE recommendations (www.icmje.org) to reconsider your submission. Therefore, as the outcome, I regret to inform you that we cannot consider your manuscript for publication in *Annals of Medicine*. Thank you for allowing us to review this work. I very much regret that the decision was a negative one.” 该杂志并没有给作者继续解释及填写更改作者信息表的机会。

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辑委员会 (ICMJE; <http://www.icmje.org/>) 明确定义了 Authorship Criteria (作者标准), 即作为文章作者需要满足的条件, SCI 期刊也遵循此准则。Authorship should be given upon meeting all 4 of the following criteria proposed (verbatim) by the International Committee of Medical Journal Editors (ICMJE; <http://www.icmje.org/>):

(1) Substantial contributions to the conception or design of the work; or the acquisition, analysis, or interpretation of data for the work; AND

(2) Drafting the work or revising it critically for important intellectual content; AND

(3) Final approval of the version to be published; AND

(4) Agreement to be accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

文章在署名的时候, 作者需要确认是否每位作者都同时满足如上 4 点达到了作为作者的要求, 若没有则需要考虑将该作者放到 Acknowledgments (致谢) 部分。

以上就是对投稿后作者要更改或增加署名的一些经验分享, 希望能对大家有帮助, 谢谢!

