



Evidence for Viral Induction of Biliary Atresia: A Review

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Abstract

Biliary atresia (BA) is a childhood disease which manifests with abnormal narrowing, blockage or complete absence of bile ducts within the liver. Many possible etiologies have been reported for the development of BA, including congenital, perinatal and acquired conditions. Since the 1970's, there has been increasing evidence linking BA development to viral perinatal infections. The viral vectors most commonly implicated include members of the herpesviridae family (cytomegalovirus and Epstein-Barr virus) as well as those of the reoviridae family (reovirus and rotavirus). While extensive work has been done on a murine model of disease, the current review focuses primarily on evidence from human studies of viral vectors in children afflicted with BA.

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Introduction

Biliary atresia (BA) is a childhood disease which manifests with abnormal narrowing, blockage or complete absence of bile ducts within the liver. This condition can lead to neonatal cholestasis by the progressive fibrosis and inflammation of both intrahepatic and extrahepatic bile ducts. Patients with BA and subsequent cholestasis often experience jaundice, pruritus, pale stools, dark urine and poor growth, with eventual progression towards cirrhosis, portal hypertension and eventually decompensated liver failure. The symptoms of BA are initially indistinguishable from other causes of neonatal jaundice. However, unlike physiological causes, the symptoms of BA continue to worsen 2 weeks after birth.¹ Fortunately, BA, unlike other causes of jaundice, does not lead to kernicterus as conjugated, rather than unconjugated, bilirubin is elevated.²

BA is found worldwide, although incidence rates differ—ranging from 1 in every 12,000 live births in the USA to a high of 1 in every 3,000 live births in East Asia.^{1,3} The disorder appears to have a slight female preponderance, and by

current estimates only 15–20% of children with BA reach adulthood without requiring a liver transplant. For those children that are fortunate enough to not require liver transplant by adulthood, over 95% have evidence of chronic liver disease and cirrhosis.¹

Work-up for suspicion of BA is extensive. Once blood, urine and stool samples are obtained, abdominal ultrasound is used to exclude other potential causes. Further work-up includes hepatobiliary scintigraphy to check for patency of the extrahepatic biliary tree and liver biopsy to look for characteristic features of BA, which include expanded portal tracts with bile duct proliferation, fibrosis and inflammation, portal tract edema, and numerous bile plugs. It is worth noting, however, that the earliest histologic changes seen in BA are nonspecific, and a liver biopsy done in the very early stages of the disease process may lead to false negative results.⁴ The current gold standard for the diagnosis of BA is intraoperative cholangiogram, though as the name suggests it is usually reserved for late stages of disease prior to surgery.

Treatment of BA revolves around the Kasai procedure. First introduced in the 1950's, a Roux en Y loop of bowel is created and anastomosed to the hilum of the liver.⁵ This is done to enable the remaining small patent bile ducts to drain. Unfortunately, 50% of those that undergo the procedure will require liver transplant by the age of 2 years.⁶ For all patients that undergo the Kasai procedure there is a significant increased risk of cholangitis secondary to the bacterial stasis that occurs from the anatomical placement of the Roux limb.⁷ For those that undergo liver transplant, studies have shown 10-year survival rate percentages in the low to mid 80's overall and 10-year graft survival rate percentages in the low 70's.^{8,9} One study found on subsequent post-transplant liver biopsies that histology was abnormal in 73% of long-term survivors, although the pathology was consistent with chronic rejection and centri-lobular fibrosis rather than recurrent biliary atresia.⁸

Many etiologies have been proposed for the development of BA. Most cases (80%) are considered perinatal or acquired, while a minority are congenital.¹ For perinatal/acquired cases of BA, reported etiologies have included autoimmune diseases, such as graft vs host disease, passed from mother to child and toxins, such as aflatoxin in genetically susceptible populations.^{10,11}

In 1974 it was first suggested that cholestatic changes in infants may be due to virus-induced liver damage.¹² The two commonly implicated viral families in relation to BA have been the herpesviridae and reoviridae. Animal models have provided direct evidence supporting viral involvement; in particular, cytomegalovirus (CMV) injection into guinea pigs was shown to cause inflammatory injury to bile duct epithelia similar to that seen in humans and reovirus injection has shown similar results in the murine model.^{13,14} However,

Keywords: Biliary atresia; Cytomegalovirus; Epstein-Barr virus; Reovirus; Rotavirus.

Abbreviations: BA, biliary atresia; CMV, cytomegalovirus; EBV, Epstein-Barr virus; IFN γ , interferon gamma; Ig, immunoglobulin; IL, interleukin; NK, natural killer; Pp65, CMV immunodominant phosphoprotein 65; Th, T helper cell; TLR, toll-like receptors; TNF α , tumor necrosis factor α ; TRAIL, TNF-related apoptosis-inducing ligand; Treg, T regulatory cell.

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because of species differences, the data derived from animal models cannot be completely representative of the human situation. Therefore, the aim of this review was to select studies from 1990 to present with clinical data on human subjects with BA in order to critically examine the correlation found between CMV, Epstein-Barr virus (EBV), reovirus and rotavirus and the development of BA.

CMV

CMV, also known as human cytomegalovirus and human herpes virus-5, is a double-stranded (ds)DNA virus of the herpesviridae family, with an age-dependent seroprevalence estimated to be 60–70% in industrialized nations, and close to 100% in developing ones.¹⁵ While infection is not life threatening, and may even go unnoticed in healthy adults, it can be life threatening to those that are immunocompromised, recipients of organ transplants, or newborns. The congenital form of infection presents with a constellation of symptoms, characterized by hearing loss, petechiae, jaundice, developmental and motor delay, periventricular calcification, vision loss, microcephaly, and seizures. CMV may pass through the bodily fluids, including saliva, blood, urine, semen and breastmilk.^{16–18}

Congenital CMV infection rates are estimated to range from 1–5% in areas of high seroprevalence and 0.4 to 2% in areas of low seroprevalence.¹⁹ However, not all neonates with CMV are cases of congenital infection. Preterm infants and those that are immunocompromised are particularly susceptible to postnatal infection.²⁰ The diagnosis of postnatal CMV is generally obtained by urine testing, along with PCR or culture, and done within the first 3 weeks of life in order to differentiate postnatal infection from the congenital variant.²¹ CMV infection in normal term infants, when symptomatic, usually presents with transient fever, mild pneumonitis, vomiting, diarrhea, hepatitis, and abnormal blood counts. For those that have liver involvement, liver function tests usually improve by 2 to 3 months.²²

Efficacy of CMV infection treatment in neonates remains inconclusive, with some evidence from case reports and series showing possible improved outcomes with the antiviral agents ganciclovir and valganciclovir.^{23–25} CMV is by far the most studied virus in humans in its relationship to BA, although the results of these studies have been mixed and inconclusive.^{13,14,21,26–37}

While some studies used antibody positivity and PCR/CMV immune-dominant phosphoprotein 65 (pp65) positivity on biopsy, for the intents of this review, all patients who were only CMV immunoglobulin (Ig)M+ were categorized together with those that were also/only PCR+/pp65+. This was done because IgM positivity may indicate acute or persistent infection, and PCR/pp65 values are not likely to be false negatives. Further, as symptoms of BA are noted in late stages, IgM positivity in the setting of PCR/pp65 negativity may mean that the patient had a recent infection which has subsequently cleared. This could still indicate that the infection was involved in the pathogenic process. However, we did not include those patients who were only CMV IgG+ in the CMV+ category, as IgG has been found to be maternally acquired and can linger in infant serum for up to 8 months.³⁸ Coincidentally, this was within the time frame from which most laboratory test samples and biopsies were taken.

As seen in Table 1, the rates of reported CMV+ neonates with BA varied, ranging from 0% to 76.9%. Overall, the

findings of these studies were inconclusive. Of the six papers that reported an association between CMV infection and cholestatic disorders, only three supported a link between a viral infection and pure BA.^{14,21,33} Others found no evidence of a link between CMV infection as an etiology for either cholestatic disease or BA.^{27,30,36}

Unfortunately, there was a lack of consistency in experimental design amongst current investigations into the correlation between CMV infection in infancy and development of BA. Of the studies listed in Table 1, six of the fifteen did not include a control group.^{14,21,30–33,35–37} This is problematic, as CMV prevalence varies by region. For those studies that did have control groups, the patients that made up these control groups varied from healthy infants to those with non-BA associated liver disease. While it would be unethical to obtain liver biopsy from healthy infants for control group PCR, the question remains as to whether a control population consisting of patients with various disorders involving liver disease may themselves have an increased susceptibility for CMV infection.

Due to the young age of the population pools used in the analysis of CMV incidence in BA and neonatal cholestasis, it is important to discern whether the patients had liver disease with associated BA in the setting of congenital CMV infection or if they acquired the infection perinatally and subsequently developed pathology. As seen in Table 1, most of the studies did not check the infants for CMV within the first 3 weeks of life, when they are most likely to be confirmed to have the congenital variant.²⁶ Two studies did investigate maternal CMV seropositivity and found that neonatal rates of infection were significantly higher than those of their mothers, indicating that most CMV infections in infants were likely perinatal.^{34,39} Two studies did explore trends in the experimental and control population pools and found a significant difference in age between those patients who were CMV+ and CMV- and underwent a Kasai procedure. Those who were CMV+ were on average 13 to 15 days older.^{21,32} This supports the idea that the cause of BA was less likely to be genetic/congenital, and more likely secondary to an acquired perinatal infection in CMV+ patients.

Another study found other differences between BA patients undergoing Kasai based on their CMV status.²¹ CMV+ patients had significantly higher total bilirubin, lower platelet counts, longer resolution of jaundice post-Kasai procedure, and worse aspartate aminotransferase to platelet ratio index as an indicator of liver fibrosis. Liver ultrasound also showed greater spleen size and higher degrees of inflammation and fibrosis compared to BA patients who were CMV-. The CMV- patients had higher degrees of lobular cholestasis, with no significant difference in ductular cholestasis.²⁶

Delayed jaundice resolution post-Kasai procedure was also found in another study in patients with “active” CMV infections (defined as pp65+ patients), although the researchers did not find a statistical significance in jaundice resolution rates in patients labeled as CMV “+” (defined as those who were CMV IgM+ and/or IgG+, but pp65-).³⁴ The addition of purely IgG+ infants into the CMV+ group may be the source of discrepancy for the statistical significance since IgG positivity in infants is not proof of an active or even past infection until around 8 months of age, as previously mentioned.

In those patients who had “active” CMV infection (pp65+ +/- IgM+), the rates of cholangitis following the Kasai procedure were significantly higher, hyperplasia of bile canaliculi was more extensive, and inflammatory zones were wider than for those that were CMV- or + (IgM+ and/or IgG+ w/ pp65-).³³

Table 1. CMV incidence in BA patients

First author ^{REF}	Numbers of BA cases; Demographics at study time	Testing method; sample type	Results in BA group and control group, if provided
Chang ¹³	26; 0.7–5 months age range, 2.1 months avg. age	PCR for immediate early gene 1 & 2 (L); Antibody assay (S & U)	BA: 2/26 PCR+ (7.6%) [unknown serology/ urine results for only BA vs neonatal hepatitis patients]; Control: 0/30 PCR (0%)
De Tomasso ²⁶	32; 25–239 days, 82.5 days avg. age	Nested PCR (L & PH); Antibody assay (S)	BA: 20/32 PCR+ and/or IgM+ (62.5%); Control: 0/9 (0%) only PCR provided
Domiaty Saad ²⁷	9; 1 month to 4 years, 12 months avg. age	PCR (L); Histological assessment (L)	BA: 1/9 (11.1%); Control: 0/8 (0%)
Fischler ²⁸	21; 1–21 weeks (all patients), 8 weeks avg. age (all patients)	Nested PCR for major immediate-early gene (L); Antibody assay (S & U)	BA: 12/21 PCR+ and/or IgM+/U+ (57%); Control: 2/35 IgM+ (5.7%) [though 4 later had increasing IgG signifying positive serology]
Fjaer ²⁹	10; 48–102 days, 74 days avg. age	RT-PCR for glycoprotein B (L & U); Viral culture (U)/ Antibody assay (S)/ Immune peroxidase technique pp65 in leukocytes (S)	BA: 4/10 (40%); Control: 0/10 (0%)
Jevon ³⁰	12; 44–200 days, 86 days avg. age	PCR (L); <i>In situ</i> hybridization (L)	BA: 0/12 (0%)
Lazim ³¹	13; Demographics unknown	Immunohistochemistry for CMV protein pp65 (L)	BA: 10/13 (76.9%)
Rauschenfels ³²	74; 19–149 days, 56 avg. age	RT-PCR for polymerase gene (L)	BA: 8/74 (10.8%)
Soomro ³³	33; 1.0–5.0 months, 2.5 months avg. age	PCR (S); Antibody assay (S)	BA: 14/33 (42.4%)
Shen ³⁴	27; 14–28 days, 19 days avg. age	Immunocytochemical detection of CMV-pp65 antigenemia assay (PH & L); Antibody assay (S)	BA: 15/27 pp65+ (55.6%) [Unable to determine IgM positivity alone, as clustered with IgG results]
Tarr ³⁵	23; 10–124 days, 61 days avg. age	Antibody assay (S); Viral culture (L, U, S)	BA: 5/23 (21.7%)
Xu ¹⁴	85; 12–180 days, 56 days avg. age	PCR (L); Immunocytochemical detection of CMV-pp65 antigenemia assay (L)	BA: 51/85 (60%)
Yaghobi ³⁶	34; 20–70 days, 44 days avg. age	Nested-PCR for UL55 gene (L); Antigenic assay (L)	BA: 0/34 (0%)
Zani ²¹	210; 44–141 days, 70 days avg. age	Immunohistochemistry with antibody against CMV (L, BR)	BA: 20/210 (9.5%)
Zabiegaj-Zwicz ³⁷	27; Demographics unknown	Antibody assay (S)	BA: 20/27 (74%); Control: 8/34 (23.5%)

Abbreviations: BA, biliary atresia; BR, biliary remnant; L, liver; PCR, polymerase chain reaction; PH, porta hepatitis; S, serum; U, urine.

Again, the lack of significance in the CMV+ group could be due to the method of including patients who were solely IgG+ as CMV+.

EBV

EBV is also a member of the herpesviridae family and is best known as the cause of infectious mononucleosis. Although symptoms closely mimic those of CMV in adolescents and adults, it is differentiated by the finding of heterophile antibody. There is also some evidence that EBV may be

responsible for the development of such autoimmune disorders as Sjogren's, rheumatoid arthritis, and systemic lupus erythematosus.^{39,40} EBV remains a highly prevalent virus, with an estimated 82.9% of 18–19 year-olds in the USA displaying seroprevalence.⁴¹ EBV is commonly acquired during childhood years, with resulting infections more likely to be asymptomatic than when infected as an adult.

Intrauterine infection with EBV is rare because fewer than 5% of pregnant women are susceptible (seronegative) to the virus.⁴² While isolated cases of infants with some evidence for EBV infection and congenital anomalies (congenital heart

disease, hypotonia, micrognathia, cataracts and thrombocytopenia) have been reported, the evidence argues against EBV as a significant cause of congenital infection.⁴³ Specifically, no evidence of EBV infection has been demonstrated in large studies of children with congenital anomalies or in umbilical cord blood samples.⁴² Prospective studies have not found evidence of congenital abnormalities among infants of women who did develop primary EBV infection during pregnancy.⁴²

As seen in Table 2, EBV has been much less studied than CMV in relation to the development of BA. Studies have shown weak evidence correlating EBV and BA, although some case reports have claimed there is a connection.^{45,46} Current studies show rates of EBV infection in patients with BA ranging from 0% to a high of 40%.^{27,29} Two of the studies employed the use of a control group, both of which showed a seroprevalence of 0%.^{27,29} Only one study primarily investigated EBV seroprevalence in perinatal cases of BA rather than other viral vectors as well, and all but one study employed the use of PCR.⁴⁴

As with the studies involving CMV, a lack of control groups across studies makes differences in EBV prevalence between BA and BA-free patients difficult to compare. In one study that did provide a control group, a significant difference in EBV incidence was found.²⁹ Though the study did use pretreatment of PCR products with uracil N glycosylase prior to amplification to avoid false positive PCR results, the significance of their findings may be questionable due to the small sample size.

In another study, which found a 12.5% EBV infection rate in the BA patient pool, EBV was detected within the biliary epithelium, suggesting that the virus is able to penetrate and reproduce there.⁴⁷ However, this information alone does not prove that EBV is involved in the development of BA.

Rotavirus

Rotavirus, a dsRNA virus of the reoviridae family, is an extremely common cause of gastroenteritis in the unvaccinated, accounting for 90% of gastroenteritis cases worldwide. Most unvaccinated children experience infection and ensuing diarrheal illness by the age of 5 years.⁴⁸ Of the nine species of rotavirus, named alphabetically A-I, rotavirus type A is believed to be the most common infectious variant in humans, although rotavirus type B has been reported to cause adult gastroenteritis outbreaks.⁴⁹ The virus is transmitted by the fecal-oral route

and symptoms include vomiting, non-bloody diarrhea, and fever. Other associated manifestations of rotavirus infection, though rare, include necrotizing enterocolitis, encephalopathy, and seizures.⁵⁰⁻⁵²

As seen in Table 3, four studies investigated the possible correlation between rotavirus and BA. Rotavirus types A and C were the most commonly investigated, with one study failing to report the target serotype investigated.³² Three of the four studies used PCR, nested or seminested, in their investigations and targeted proteins VP3 (a methyltransferase mRNA capping enzyme), VP4 (part of rotavirus cell attachment and virulence), NSP1 (a 5'-RNA binding, interferon antagonist), VP6 (a structural and species-specific antigen), and VP7 (a structural and neutralization antigen).^{33,53,54} One of the papers relied purely on serum antibodies for determination of infectious state, while the rest used liver samples and, in some cases, feces (unclear how obtained) and bile remnants (Table 3).

Rates of rotavirus prevalence in BA were noted to range from 0% to 15% for rotavirus A and 40% for rotavirus C using the low cut-off provided for optical density values.^{54,55} It should be noted, however, that in one study, although rotavirus prevalence was high in the BA group, it was also high in the control group and the difference between them was found not reach the threshold for statistical significance.⁵⁵ Another study showed significantly increased rates of rotavirus C infection in BA patients, in comparison to control groups.⁵⁶ However, the PCR products collected in that case were not sequenced and, as a result, the possibility of false positives cannot be excluded.

Unlike the other viruses reviewed, there is a vaccine against rotavirus, and its use can alter the incidence of infection rate and prevalence. However, two of the reviewed studies were done prior the availability of RotaShield[®].^{54,56} Of the remaining two studies, only one specified that the samples tested were obtained prior to the reintroduction of rotavirus vaccines in 2006.⁵⁵ It is unclear whether or not the 0% seroprevalence in the other more recent study was affected by patient vaccination.³² Unfortunately, all of the studies had small sample sizes, further limiting the conclusions that can be drawn from them.

Another limiting factor seen in the present studies was the age of patients at the time of specimen procurement. In one of the papers, samples were obtained during liver transplant when the patient was 10 years-old.⁵⁴ As this was a case of BA, the patient likely began developing the condition many years

Table 2. EBV incidence in BA

First author ^{REF}	Numbers of BA cases; Demographic	Testing method	Results in BA group and control group, if provided
Domiaty-Saad ²⁷	9; 1 month to 4 years, 12 months avg. age	PCR (L); Histological assessment (L)	BA: 0/9 (0%); Control: 0/9 (0%)
Fjaer ²⁹	10; 48–102 days, 74 days avg. age	RT-PCR (L & S); Antibody assay (S)	BA: 4/10 (40%); Control: 0/10 (0%)
Mahjoub ⁴⁴	16; 36–152 days, 72 days avg. age	Chromogenic in situ hybridization (CISH) (L & BE)	BA: 2/16 (12.5%)
Rauschenfels ³²	74; 19–149 days, 56 avg. age	RT-PCR for BNRF-1 gene (L)	BA: 0/74 (0%)
Xu ¹⁴	85; 12–180 days, 56 days avg. age	RT-PCR (L)	BA: 3/85 (3.5%)

Abbreviations: BA, biliary atresia; BE, biliary epithelium; BR, biliary remnant; L, liver; RT-PCR, reverse transcriptase-polymerase chain reaction; S, serum; U, urine.

Table 3. Rotavirus incidence in BA

First author ^{REF}	Numbers of BA cases; Demographic	Testing method	Results in BA group and control group, if provided
Bobo ⁵⁴	10; 1 month to 10 years, 18 months avg. age	Nested PCR for genes 3 (Rota B) & 6 (Rota A, C); Southern blot of L and BR	BA: 0/10 (0%); Control: 0/14 (0%)
Clemente ⁵⁵	40; 4.7–13 weeks, 62 days avg. age	Antibody assay for Rota A and Rota C (S)	BA: IgM+; Rota A, 4–16/40 (10–40%); Rota C, 0–6/40 (0–15%) Control: IgM+; Rota A, 7–14/38 (18–37%); Rota C, 1–9/38 (3–24%)
Rauschenfels ³²	64; Unknown, patient information provided for total group of 74 (see above)	RT-PCR for gene 4 (Rota type not mentioned) (L)	BA: 0/64 (0%)
Riepenhoff-Talty ⁵⁶	18; 24–103 months, 41.7 months avg. age.	Seminested PCR for gene 9 (Rota A); Nested PCR for genes 5 & 6 (Rota C) (RNA from F or L or BS)	BA: Rota A, 0/12 (0%); Rota C, 10/20 (50%) Control: 0/12 (0%)

Abbreviations: BA, biliary atresia; BE, biliary epithelium; BR, biliary remnant; L, liver; RT-PCR, reverse transcriptase-polymerase chain reaction; S, serum; Rota, rotavirus; U, urine.

prior and any inciting viral vector, such as rotavirus—based on the mixed viral/inflammatory disease model for BA development as described later in this review—would likely have long resolved by the time of PCR analysis.

The murine model has shown that the strains of rotavirus thought most likely to contribute to BA development attach to integrin $\alpha 2\beta 1$ receptors found on cholangiocytes, but not on hepatocytes.^{57,58} This may mean that testing biliary epithelium and bile might be an additional means of detection. Use of PCR on liver biopsy specimens may lead to false positives as well, as the strains responsible for liver disease may not be the same ones that promote cholangiocyte infection and subsequent BA.

Additionally, antibody testing, rather than PCR, provides similar issues to those seen with the other viral vectors discussed in this review. IgG antibodies for rotavirus are not useful indicators of history of infection because for the first 4–6 months of life, children have IgG antibodies for rotavirus acquired transplacentally from their mothers.⁵⁹ IgM antibodies may themselves be too transient to be of regular use on evaluation in BA. Hertel *et al.*⁵⁹ proposed, instead, the use of IgA antibodies in children younger than the age of 2 months (before the administration of rotavirus vaccination), as it can detect primary infections in children, persists for several months, and is not affected by existing maternal antibody.

There are also potential pitfalls in the use of PCR, which can compromise results. There is significant sequence diversity amongst rotavirus strains for the same proteins.⁶⁰ This may mean that primer specificity is important for optimal detection of specific viral strains.

Reovirus

Unlike the other viruses investigated in this review, reovirus, also a member of the reoviridae family, has no pathology associated with infections. However, its viral particles have been identified in the liver of neonates with biliary atresia.⁶¹ Reovirus, especially serotype 3, has been particularly studied in the murine model for its role in producing disease with similar pathological features to human BA, such as necrosis of bile duct epithelium and inflammatory infiltrate.^{62,63} Interestingly,

the murine model has shown ductal changes long after viral antigens are no longer detected.⁶³ While work on closer human relatives, such as infant monkeys, has shown disease processes resembling human BA with reovirus infection, human studies have failed to consistently demonstrate a correlation between reovirus and BA development.⁶⁴

Of the five studies examined, rates of seroprevalence of reovirus in patients with BA were noted to range from 0% to 55%.^{65,67} Although most of the studies did have control groups, as with the other viral vectors, sample sizes were relatively low. As seen in Table 4, four of the papers used highly sensitive nested PCR, while one used seminested PCR. Of the four papers that used nested PCR, only two of them described employment of appropriate controls and sensitivities to maximize accuracy.^{65,67} One of the papers—with the highest detection rate of reovirus at 55% in those with BA—did not amplify products of their PCR, making it more difficult to confirm that the PCR products did not contain false positives.⁶⁷ Unfortunately, two of the papers did not provide demographic information for their patient pools; although, an analysis of age distribution in one of them showed that patients with BA that were reovirus+ at the time of Kasai procedure were older (by an average of 6 days) when compared to the control group.^{32,56} An additional variable that cannot be excluded is the prospect that the reovirus infection could simply be a coincidental infection.³²

The reviewed studies used different gene targets for PCR (Table 4). Three studies used genes coding for inner capsid protein components (L1 and L3) involved in transcription and genome replication. One study used the M3 gene, which codes for microtubule-associated protein and is thought to be involved in the formation of viral inclusions; another study used the S3 gene, which codes for an outer capsid protein that is thought to be involved in genome packaging.^{68–71} The use of different gene targets introduces variability from genetic diversity, making primer selection and, thus, exclusion of false negatives more difficult. This in turn may be a reason for varying/low rates of reovirus detection. However, the L1 and L3 genes appear to be highly conserved across reoviral strains and S3 appears to have high

Table 4. Reovirus incidence in BA

First author ^{REF}	Numbers of BA cases; Demographics	Testing method (sample used)	Results in BA group and control group, if provided
Rauschenfels ³²	64; Unknown, patient information provided for total group of 74 (see Table 3)	Nested RT-PCR for L3 gene (L)	BA: 21/64 (32.8%)
Riepenhoff-Talty ⁵⁶	9; Unknown, patient information provided for total group of 18 (see Table 3)	Seminested PCR for S3 gene (L, BS, F)	BA: 0/9 (0%); Control: 0/2 (0%)
Saito ⁶⁵	26; 0.7–46.6 months, 12.7 months avg. age	Nested PCR for L3 gene (L, GB, PH and CBD)	BA: 0/26 (0%); Control 0/13 (0%)
Steele ⁶⁶	14; 3 weeks to 3.5months, N/A avg. age	Nested PCR for M3 gene (L)	BA: 0/14 (0%); Control: 0/17 (0%)
Tyler ⁶⁷	20; 1.5–6 months, 2 months avg. age	Nested PCR for L1 gene (L & BD)	BA: 11/20 (55%); Control: 7/33 (21%)

Abbreviations: BA, biliary atresia; BD, bile duct; BS, biliary suspension; CBD, common bile duct; F, fecal matter; GB, gallbladder; L, liver; PH, porta hepatis; RT-PCR, reverse transcriptase-polymerase chain reaction; S, serum; U, urine.

conservation at the 5'- and 3'-ends, making them good targets for PCR primer development.^{65,72} The M3 gene, on the other hand, appears to be the third most divergent gene amongst strains (after S1 and M1), making primer design for PCR more complicated and more likely to have a lower yield.⁷³

Potential mechanisms by which viral vectors cause biliary atresia

Many of the viruses discussed above have been shown to have varying degrees of association with the presence of BA. However, these viruses usually cause infections that do not lead to the development of BA. Therefore, a major focus of study in the viral pathogenesis of BA is the identification of peculiar responses of the host that predispose certain individuals to develop the disease.

Innate immune pathway

The innate immune system, in contrast to the adaptive immune system, is present in humans from birth and is not learned or permanently heightened after exposure to specific pathogens. While the adaptive immune system takes anywhere from days to weeks to respond to pathogens, the innate immune system responds immediately once pathogenic particles are recognized by pattern recognition receptors on leukocytes. The actions of the innate immune system are believed to be the first step in the development of virus-induced immune destruction of biliary epithelium. It has been shown that infection of biliary epithelium by viral vectors triggers release of proinflammatory cytokines, including tumor necrosis factor α (TNF α), interleukin (IL)-1, and IL-6. Macrophages, natural killer (NK) cells, neutrophils, and dendritic cells respond to the infection.⁷⁴

These cells all contain Toll-like receptors (TLRs), each one of which recognizes a specific pathogen-associated molecular pattern displayed on infected or necrotic biliary epithelial cells.⁷⁵ Failure to properly regulate TLR signaling is believed to play a significant role in the development of chronic inflammatory disease. In BA specifically, TLRs 3, 7, and 8 have been noted to be highly up-regulated (Fig. 1).⁷⁶ TLR3, when activated by viral dsRNA, results in biliary apoptosis by a TNF-related apoptosis-inducing ligand (commonly known as TRAIL). TLR7, on the other hand, was activated by viral single-stranded RNA and leads to increased levels of the

signaling molecule human myxovirus resistance protein 1 and subsequent activation of type 1 interferons.

Macrophages cause significant biliary epithelial damage by TNF α production, as part of the innate immune system. CD14 is a macrophage cell surface glycoprotein that both recognizes endotoxin and activates TNF α release from macrophages.⁷⁴ Individuals that possess T/T homozygosity in the CD14 promoter region may have an increased risk of developing macrophage-induced biliary damage. A second polymorphism, located in the promoter region of the migration inhibitory factor, a macrophage and lymphocyte proinflammatory cytokine, has been associated with exaggerated innate immune response that may increase likelihood of disease progression to BA and chronic inflammatory disease.⁷⁷

NK cells have also been identified as exacerbators of disease processes. A murine BA model has shown that depletion of NK cells or blockade of their Nkg2d receptor immediately following birth results in an absence of jaundice in rotavirus-infected pups.⁷⁸ Human studies have demonstrated that elevated levels of plasmacytoid dendritic cells which produce cytokine IL-15, an important factor for NK cell activation, results in bile duct epithelial-targeted injury.⁷⁹ However, the role of NK cells in the development of human BA has been questioned, as murine studies have also suggested that NK cells in human newborns may be too immature to kill virus-infected cholangiocytes.⁸⁰

Adaptive pathway

Unlike the innate immune system, the adaptive immune system involves immune responses that are stimulated based on repeated pathogen exposure by effector T cells. Effector T cells release cytokines which either directly, or through activation of other immune cells, cause cellular damage. There are two important T cell responses that have been investigated and noted in BA, as follows: 1) T helper (Th)1 cells, which induce IL-2, interferon gamma (IFN γ) and TNF α ; and, 2) Th17 cells which induce IL-17. In the inflammatory setting, IL-18 (IFN- γ -inducing factor), a macrophage-derived cytokine, together with IL-12, has been reported to promote Th1 cell differentiation and subsequent IFN γ production, causing bile duct injury.⁷⁴ The importance of IFN γ was demonstrated in a murine model in which rotavirus-infected IFN γ knockout mice showed resolution of cholestasis,

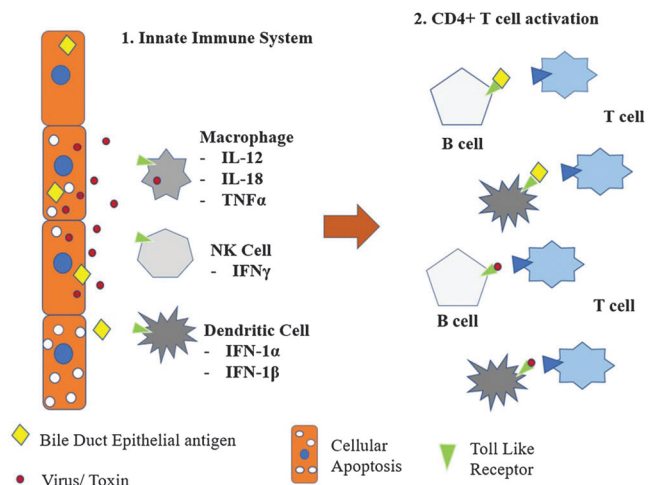


Fig. 1. Immunopathogenesis of biliary atresia. Viral infection of cholangiocytes leads to activation of the innate immune system and bystander damage. Toll-like receptor-pathogen-associated molecular pattern complexes subsequently lead to CD4+ activation for viral antigens as well as cross-reactive bile duct epithelial antigen.

while wild type rotavirus-infected mice showed biliary disease progression.⁸¹

Numbers of Th1 cells have been found to be elevated in CMV IgM+ cases of BA and, along with macrophages, are believed to be responsible for the degeneration of intrahepatic bile ducts.⁸² This supports the idea that while viral infection is important in the initiation of biliary disease, continued immunological response plays an important part in disease progression. The numbers of Th17 cells have been noted to be elevated in the portal tracts of BA patients and positively correlated to serum bilirubin levels.⁸³ Additionally, IL-17 mRNA has been found to be significantly increased in BA patient tissues.⁸⁴ However, unlike Th1 cells, elevated Th17 populations have not been correlated to infectious agents; therefore, they are considered to be more indicative of general inflammation and likely serve as a marker of severity and disease progression, rather than pathogenesis of BA.

B cells—responsible for both antigen presentation and Ig production—have been implicated in playing a role in rotavirus-induced biliary disease with progression to BA. α -Enolase—a glycolytic enzyme expressed in most tissues including biliary epithelium—has been shown to have an amino acid sequence similar to that of rotavirus-encoded proteins.⁸⁵ Sera of human subjects with BA as well as that of rotavirus-infected mice have demonstrated the presence of α -enolase antibodies. It has been shown that antirotavirus and antienolase antibodies cross-react with enolase and rotavirus proteins, making the chronic inflammation leading to BA in the setting of infection a potential case of unfortunate molecular mimicry in susceptible individuals.⁸⁵

Immune dysregulation

To determine the correlation between inflammatory response and disease development, murine models have examined the effect of age at the time of infection. Intraperitoneal rhesus rotavirus inoculation within the first 12 hours of birth has been shown to produce inflammatory reactions leading to extrahepatic and intrahepatic duct obliteration, similar to those seen

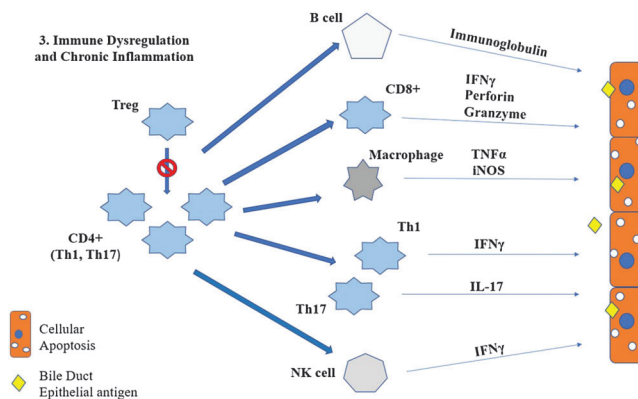


Fig. 2. Bystander damage of cholangiocytes and associated release of cross-reactive bile duct epithelial antigens induces autoreactive T cells. Depressed Treg numbers fail to regulate autoreactive T cells, leading to increased stimulation of downstream effector cells and resulting in chronic inflammation and continued biliary injury post-viral clearance.

Abbreviations: Treg, T regulatory cells.

in BA.⁸³ One theory behind the progression of opportunistic infection leading to BA involves a deficiency of T regulatory cells (Tregs).⁸² Infection with rhesus rotavirus, in the setting of low Treg numbers, has been shown to lead to up-regulation of NK and CD8+ cells, without proper suppression.⁷⁹

The Treg subset of CD4+ cells is responsible for controlling T cell-mediated immune responses to prevent “bystander damage” of healthy cells as well as activation of autoreactive T cells. Bystander damage refers to destruction of healthy cells near infected cells, secondary to either focused inflammatory cytokine release (such as TNF α , TNF β , lymphotoxin, and nitric oxide) or direct destruction by CD4 cells, leading to additional immunopathology at sites of infection (Fig. 2).⁸⁶ Studies in CMV+ and rotavirus+ human children with BA have shown significantly decreased Treg numbers.^{87,88} The importance of Tregs in the prevention of progression of BA has been demonstrated in a murine model in which the addition of normal Tregs into rotavirus-infected neonatal mice decreased biliary duct inflammation and improved overall survival.⁷⁴

Investigations into the cause of decreased Treg numbers have shown a possible role for epigenetic modifications by mechanisms that remain unclear at present. DNA hypermethylation in BA-afflicted children has been shown to prevent Foxp3 protein—a Treg cell regulator—from binding to DNA, resulting in suppressed Treg function.⁸⁹ DNA hypomethylation has also been implicated in autoimmune disease in which hypomethylation of the IFN γ gene promoter region was associated with unregulated production of IFN γ .⁹⁰

Conclusions

While animal models have shown promise in linking viral vectors to the development of BA, evidence from human studies remains less conclusive. However, data on CMV—the most heavily studied viral vector in relation to BA—provides the strongest support. There are several possible causes for the conflicting findings in human investigations. Unfortunately, current studies have low enrollment and limited population data due to the rarity of BA. Additionally, due to ethical considerations, liver biopsies cannot be taken from healthy controls and are instead obtained from infants with

other medical conditions. This can falsely elevate the rate of viral infection among the control group, as their immunocompromised state increases risk for infection compared to healthy infants.

Similarly, there is an absence of uniform study methodology, as highlighted by the irregular use of control groups, differing tissue sample types, tissue preservation protocols, and molecular methods—ranging from antibody assays to PCR. A lack of control groups makes it difficult to compare infection rates, and the high variability of tissue types and molecular investigative methods results in unequal test sensitivities.

Another investigative hurdle is the delay between viral infection and the appearance of BA symptoms. Additionally, differentiating between congenital versus acquired forms of BA with certainty is made more difficult by delays in investigation. However, this pitfall has been partially overcome. Studies have shown later average age of BA onset in cases with positive viral markers of infection while testing negative for evidence of congenital infection. This lends credence to the idea that these are perinatal infections.

The development of a vaccine for rotavirus may provide a unique opportunity for correlation between viral infection and BA. With increased use of the vaccine, it will be interesting to note whether there are observable reductions in BA rates in endemic areas.

While much focus has been placed on CMV, EBV, rotavirus, and reovirus in the study of virally-induced BA, other members of their respective viral families have not received as much attention. It may be worthwhile to further expand investigations to cover a broader scope of viruses to strongly correlate viral infection with BA development and increase the scope of potential vectors. Additionally, future studies should expand their scope beyond single vector identification as viral coinfections may significantly increase the risks of BA when compared to single viral infection.

If viral vectors are related to the development of BA, then the promotion of progression from biliary damage to end-stage BA is likely to be multifactorial, involving viral damage, genetic and epigenetic characteristics, and both the innate and adaptive immune systems. Further studies will be needed to elucidate all of the molecular players involved and their interactions, in order to create effective diagnostic, prognostic and therapeutic modalities.

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Conflict of interest

The authors have no conflict of interests related to this publication.

Author contributions

Wrote the manuscript (LDA), developed the idea for the article, and critically revised it (GYW).

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