

Gui-Qian Huang<sup>‡1,2</sup>, Ji-Na Zheng<sup>‡1,3</sup>, Tian-Tian Zou<sup>‡1,4</sup>, Yi-Ran Chen<sup>1,3</sup>, Ke-Qing Shi<sup>1,5</sup>, Sven Van Poucke<sup>6</sup>, Zhang Cheng<sup>1,3</sup>, Lu-Yi Ruan<sup>1,3</sup> and Ming-Hua Zheng<sup>\*1,5</sup>

<sup>1</sup>Department of Hepatology, Liver Research Center, The First Affiliated Hospital of Wenzhou Medical University, Wenzhou, China; <sup>2</sup>Renji School of Wenzhou Medical University, Wenzhou, China; <sup>3</sup>School of The First Clinical Medical Sciences, Wenzhou Medical University, Wenzhou, China; <sup>4</sup>School of The Second Clinical Medical Sciences, Wenzhou Medical University, Wenzhou, China; <sup>5</sup>Institute of Hepatology, Wenzhou Medical University, Wenzhou, China; <sup>6</sup>Department of Anesthesiology, Intensive Care, Emergency Medicine and Pain Therapy, Ziekenhuis Oost-Limburg, Genk, Belgium

## Abstract

Background and Aims: Platelet-to-lymphocyte ratio (PLR) has been shown to predict prognosis of cancers. We aimed to evaluate the prognostic value of stratification of PLR in patients after curative liver resection (CLR) for hepatocellular carcinoma (HCC). Methods: A total of 1804 patients who underwent CLR for suspected HCC between January 2007 and January 2014 were screened for the study. All of the patients were categorized into equal tertiles according to the number of patients and the distribution of PLR. Prognostic significance was determined for overall survival (OS) and was assessed using Kaplan-Meier analysis. Univariate and multivariate Cox proportional hazard regression analyses were evaluated for association of all independent parameters with disease prognosis. Results: The optimal cut-off points of preoperative PLR were: (T1) 11.98-75.00, (T2) 75.00-113.33 and (T3) 113.33-567.50. There were obvious differences in each PLR tertile with mortality within 36 months of CLR ( $p_{log-rank}$  < 0.001). Multivariable analysis suggested that the level of PLR (HR = 1.004, 95%CI: 1.001-1.008, p = 0.006), portal vein thrombosis (HR = 3.406, 95%CI: 1.185-9.794, p = 0.023), number of nodules (HR = 1.810, 95%CI: 1.345-2.437, p < 0.001), Child-Turcotte-Pugh score (HR = 1.741, 95%CI: 1.129–2.684, p = 0.012) and microvascular invasion (HR = 2.730, 95%CI: 1.777-4.196, p < 0.001) were significant predictors of mortality. Kaplan-Meier analysis of overall survival (OS) demonstrated that each PLR tertile

showed a progressively worse OS and apparent separation ( $p_{log-rank} = 0.016$ ). The highest 5-year OS rate following CLR (58%) was revealed in tertile 1. In contrast, the lowest 5-year OS rate (30%) was revealed in tertile 3. **Conclusion:** Stratified preoperative PLR could strengthen the predictive power for OS in HCC patients with CLR.

**Citation of this article:** Huang GQ, Zheng JN, Zou TT, Chen YR, Shi KQ, Poucke SV, *et al.* Stratified platelet-to-lymphocyte ratio: a novel target for prognostic prediction of hepatocellular carcinoma after curative liver resection. J Clin Transl Hepatol 2017;5(1):35–42. doi: 10.14218/JCTH.2016.00035.

#### Introduction

Hepatocellular carcinoma (HCC) is the third leading cause of cancer-related mortality worldwide. Recently, there have been approximately 750,000 new cases of liver cancer reported per year.<sup>1,2</sup> For men, it is the second leading cause of cancer death worldwide in less developed countries. In more developed countries, it is the sixth leading cause of cancer death among men.<sup>3</sup> At present, based on limitations for a more widespread application of liver transplantation for HCC patients (shortage of donor organs, higher perioperative risk, high cost and long-term immunosuppression), hepatectomy is widely accepted as the first treatment option and provides a radical therapy in patients with early stages of HCC.<sup>4,5</sup>

With appropriate surgical techniques and perioperative management to preserve function of the liver remnant, HCC can be resected safely and with very low operative morbidity and mortality rates.<sup>6,7</sup> However, some studies have indicated that linked to the high recurrence rate, patients' long-term prognosis after radical resection remains poor.<sup>4,8</sup> Therefore, it is necessary to monitor patients for progression of HCC by controlling tumor recurrence, ultimately prolong-ing the survival period in HCC patients after curative liver resection (CLR).



**Keywords:** Platelet-to-lymphocyte ratio; Hepatocellular carcinoma; Curative liver resection; Overall survival.

**Abbreviations:** AFP, alpha-fetoprotein; AST, aspartate aminotransferase; CI, confidence interval; CLIP, Cancer of the Liver Italian Program; CLR, curative liver resection; CT, computerized tomography; HBV, hepatitis B virus; HCC, hepatocellular carcinoma; HR, hazard ratio; LC, liver cirrhosis; MRI, magnetic resonance imaging; NLR, neutrophil-to-lymphocyte ratio; OS, overall survival; PLR, platelet-to-lymphocyte ratio;  $\gamma$ -GT,  $\gamma$ -glutamyl transferase.

Received: 4 September 2016; Revised: 19 January 2017; Accepted: 8 February 2017

<sup>\*</sup>Correspondence to: Ming-Hua Zheng, Department of Hepatology, Liver Research Center, The First Affiliated Hospital of Wenzhou Medical University; Institute of Hepatology, Wenzhou Medical University, No. 2 Fuxue Lane, Wenzhou 325000, China. Tel: +86-577-88078232, Fax: +86-577-88078262, E-mail: zhengmh@wmu.edu.cn

<sup>&</sup>lt;sup>‡</sup>These three authors contributed equally to this study.

Currently, some studies have shown that genetic, biological aggressiveness and environmental factors are contributory risk factors for the progression and development of HCC.<sup>9,10</sup> In addition, numerous pathological features have been identified as prognostic indicators for HCC patients,

such as tumor burden, the presence of hepatic vascular invasion, portal vein thrombosis, serum bilirubin, C-reactive protein and the elevated serum levels of alpha-fetoprotein (AFP).<sup>11-16</sup> Previous studies have demonstrated that systemic inflammation is related to poor prognosis and increased tumor progression through up-regulation of cytokines in a variety of cancers.<sup>17,18</sup> As biomarkers of systemic inflammation, elevated neutrophil-lymphocyte ratio (NLR) and absolute monocyte counts have demonstrated a potential influence for guiding the clinical management of cancer patients.<sup>19</sup>

Recently, the platelet-to-lymphocyte ratio (PLR), a marker of systemic inflammation, (ratio of the absolute platelet and lymphocyte counts), has been reported to be associated with the progression of various tumor types, including pancreatic ductal adenocarcinoma, epithelial ovarian cancer, and metastatic renal cell cancer.<sup>20–22</sup> However, there is conflicting data regarding the ability of predicting prognosis of HCC patients with PLR.<sup>23</sup> Li *et al.*<sup>24</sup> demonstrated that elevated PLR was associated with aggressive tumor behavior, and can be identified as a poor independent prognostic factor in advanced HCC patients. However, other studies fail to find correlation between clinical outcome and the level of PLR in HCC patients.<sup>25,26</sup> As such, the current opinion on the prognostic role of PLR for HCC is still controversial, and to date there have been no reports regarding PLR in HCC patients undergoing CLR with stratification in order to predict overall survival (OS).

Therefore, the purpose of this study was to use stratification with preoperative PLR to assess the prognostic impact on OS for patients who underwent CLR for suspected HCC.

> 1804 patients underwent hepatic resections with suspected HCC referred to the First Affiliated Hospital of Wenzhou

Huang G.Q. et al: Stratified PLR predicting CLR-HCC mortality

## Methods

### Study design

Data was collected from the First Affiliated Hospital of Wenzhou Medical University clinical database, all patients were sampled consecutively from PLR records for suspected HCC between January 2007 and January 2014.

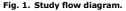
PLR was defined as the absolute platelet count divided by the absolute lymphocyte count prior and closest to the date of resection as part of the routine preoperative assessment of the patients. Furthermore, in view of the number of patients of this study population and the distribution of the level of PLR with the highest differences before surgery, PLR was further categorized into tertiles to observe whether any reinforced predictive performance could be quantified while maintaining sufficient statistical power in each category.

The written informed consent was obtained from each patient included in the study. The study was approved by the Committee on Ethics at the First Affiliated Hospital of Wenzhou Medical University and was performed according to Standards for the Reporting of Diagnostic Accuracy Studies. A study flow diagram is provided in Fig. 1.

#### Exclusion criteria

All cases of suspected HCC were confirmed by post-operative pathology assessment, and the following exclusion criteria were used: (1) previous hepatic resections; (2) distant

Medical University from 1/2007 to 1/2014. 1188 patients were excluded 1) Underwent hepatic resections before (n = 166)2) Distant tumor metastasis (n = 189)3) Multiple primary cancer (n = 195)4) Not the first primary cancer (n = 186)5) Underwent targeted therapies, PEI, RF, TACE, liver transplantation before (n = 231)Non-HCC diseases according to postoperative 6) pathological diagnosis (n = 196)7) Postoperative survival time  $\leq 6$  months (n = 25) 616 patients were included Patients lost to follow-up were excluded (n = 135)Total 481 patients were included



Abbreviations: HCC, hepatocellular carcinoma; PEI, percutaneous ethanol injection; RF, radiofrequency; TACE, transarterial chemoembolization.

## Huang G.Q. et al: Stratified PLR predicting CLR-HCC mortality

tumor metastasis; (3) multiple primary tumors; (4) previous primary cancer; (5) previous transcatheter arterial chemoembolization or radiofrequency treatment, percutaneous ethanol injection, liver transplantation, or targeted therapies; (6) non-HCC disease on the basis of post-operative pathological diagnosis; (7) postoperative survival time of  $\leq$  6 months; (8) loss during follow-up. In total, 481 HCC patients were identified for this study.

## Data collection and follow-up

Standard patient demographic and clinic pathological data were collected from the patients' medical records, including age, BMI, sex, calculated Child-Turcotte-Pugh (CTP) score and the Cancer of the Liver Italian Program (CLIP) score at initial presentation. Laboratory values, including platelet, total bilirubin, direct bilirubin, albumin, alanine aminotransferase, aspartate aminotransferase (AST), alkaline phosphatase, blood glucose, creatinine, thrombin time, and international normalized ratio, were recorded for all patients before curative liver resection. Clinical values, including liver cirrhosis (LC) and ascites, were recorded for all patients after assessment by physical examination and confirmation by imaging studies such as abdominal ultrasonography, computerized tomography (CT) or magnetic resonance imaging (MRI). The presence of microvascular invasion was defined by evidence of tumor emboli in either the large capsular vessels, or the portal or central hepatic vein based on imaging studies or surgical resection.<sup>27</sup> Tumor characteristics, including portal vein thrombosis, were observed during the surgery, and the number of tumor nodules were ascertained based on CT or MRI scan.

Patients were followed-up every 3 months after surgery and OS was based on the time interval between the date of surgery and death, or the date of surgery and the last follow-up. Information on death was collected from the medical records and the social security death index, as well as from families.

## Statistical analysis

Data for continuous variables were expressed in mean ± standard deviation or medians and interquartile range, depending on their distribution in the study population tested by Kolmogorov-Smirnov test. Categorical values were presented as relative frequencies and proportions. Comparisons between stratification were performed using the nonparametric Kruskal-Wallis test or one-way analysis of variance (ANOVA) for continuous variables, and the Pearson's chi-square test or Fisher's exact test for categorical variables as appropriate. A Cox proportional hazard regression was used to calculate hazard ratios (HRs) and 95 % confidence intervals (CIs) associated with OS. Prognostic factors with significant values of p < 0.05 in a univariate analysis were entered into a multivariate analysis, enabling determination of significant effects while adjusting for multiple factors simultaneously. Then, the Kaplan-Meier curves were used for OS rates to compare patients with each stratification, and statistical difference in the survival curves were evaluated using the log-rank test.

In this study, a two-tailed p value of < 0.05 was recognized as statistically significant. All these statistical calculations were performed using SPSS version 18.0 (SPSS, Chicago, IL, USA) and MedCalc version 12.7 (MedCalc Software, Ostend, Belgium).

### Results

The 481 patients who underwent CLR for suspected HCC at the First Affiliated Hospital of Wenzhou Medical University between January 2007 and January 2014 consisted of 411 males (85.4%) and 70 females (14.6%). Their mean age was 56.4 years (range, 23–85 years; Table 1).

Based on distribution of the level of PLR, all of the patients were categorized into equal tertiles, which ensured the most categories with adequate number of patients per category from the range of 11.98 to 567.50 (T1, 160 patients; T2, 160 patients; T3, 160 patients). The cut-off values for this stratification of the PLR into tertiles were: (T1) 11.98–75.00, (T2) 75.00–113.33, (T3) 113.33–567.50.

The demographic and tumor, laboratory and clinical characteristics of the HCC patients involved in this study with PLR tertiles are summarized in Table 1. Patients with low and high PLR seemed to be similar in regard to laboratory characteristics, except for white blood cells, uric acid platelets, total bilirubin, prothrombin time (PT), prothrombin time activity and INR. The etiology for most of the cases was hepatitis B virus (HBV) (68.4%), followed by superinfection with HBV and hepatitis C virus (16.2%). The majority of patients had a single tumor (87.6%), and higher PLR tertiles were significantly associated with larger tumor diameter when compared with the lower two tertiles (p < 0.001).

Univariate and multivariate analyses by a Cox proportional hazard model were performed to identify independent prognostic factors for OS, as illustrated in Table 2. The univariate Cox proportional hazards analysis demonstrated that PLR, ascites, PT, albumin, AST, alkaline phosphatase, white blood cells, largest tumor diameter, number of nodules, microvascular invasion, portal vein thrombosis, CLIP score and CTP score (all p < 0.05) were statistically significant prognostic factors for OS.

After extensive univariate analysis, these significant factors were included in the multivariable Cox proportional hazards models, and multivariable analysis identified that the level of PLR (HR = 1.004, 95%CI: 1.001–1.008, p = 0.006), number of nodules (HR = 1.810, 95%CI: 1.345–2.437, p < 0.001), presence of microvascular invasion (HR = 2.730, 95%CI: 1.777–4.196, p < 0.001), presence of portal vein thrombosis (HR = 3.406, 95%CI: 1.185–9.794, p = 0.023) and CTP score (HR = 1.741, 95%CI: 1.129–2.684, p = 0.012) were independent prognostic factors for OS.

Furthermore, the Kaplan-Meier survival curves of the HCC patients stratified by PLR tertiles demonstrated a higher 5-year OS following CLR (58%) of the lowest PLR tertiles (T1) in comparison to poor outcomes (30%) in the highest tertiles (T3), and each of the tertiles demonstrated a similar difference of OS (log-rank p = 0.016) (Fig. 2).

### Discussion

Postoperative recurrence of HCC is a major barrier for longterm survival for HCC patients after liver resection.<sup>28</sup> Hence, in this study, we established as first the stratification of preoperative PLR levels for the prediction of 36-month survival in patients with HCC after CLR. Based on Kaplan-Meier analysis of OS, the elevated level of PLR was demonstrated to be associated with the poor survival of HCC and high tertiles of PLR were related to poor prognosis.

More than a century ago, the association of cancer and inflammation was demonstrated.<sup>29</sup> However, the mechanism

		PLR tertiles					
Variables	All patients	Tertile 1, n = 160 [11.98–75.00]	Tertile 2, n = 160 [75.00–113.33]	Tertile 3, n = 161 [113.33–567.50]	<i>p</i> -value		
PLR	91.2 (69.0, 129.2)	60.0 (48.4, 69.0)	91.2 (82.5, 102.2)	155.7 (128.9, 192.5)	<0.001		
Demographic parame	eters						
Age in years	$56.4\pm10.9$	$55.6 \pm 9.7 \qquad 56.8 \pm 10.8 \qquad 56.8 \pm 12.2$		$56.8 \pm 12.2$	0.507		
Sex					0.335		
Male	411 (85.4%)	135 (84.4%)	142 (88.8%)	134 (83.2%)			
Female	70 (14.6%)	25 (15.6%)	18 (11.3%)	27 (16.8%)			
BMI in kg/m <sup>2</sup>	$23.0\pm3.3$	$23.1\pm3.2$	$23.6\pm3.9$	22.3 ± 2.7	0.004		
Clinical parameters, I	n (%)						
Ascites					0.267		
Absence	366 (90.1%)	123 (90.4%)	129 (92.8%)	114 (87.0%)			
Presence	40 (9.9%)	13 (9.6%)	10 (7.2%)	17 (13.0%)			
Liver cirrhosis	176 (42.6%)	81 (58.7%)	59 (41.8%)	36 (26.9%)	<0.001		
Etiology, n (%)					0.002		
Hepatitis B	325 (68.4%)	113 (72.9%)	109 (68.1%)	103 (64.4%)			
Alcohol	36 (7.6%)	8 (5.2%)	9 (5.6%)	19 (11.9%)			
Hepatitis B + hepatitis C	77 (16.2%)	31 (20.0%)	28 (17.5%)	18 (11.3%)			
Other	34 (7.2%)	3 (1.9%)	13 (8.1%)	18 (11.3%)			
Hepatitis C	3 (0.6%)	0	1 (0.6%)	2 (1.3%)			
Laboratory paramete	rs						
Total bilirubin in μmol/L	10.0 (8.0, 15.0)	12.0 (9.0, 18.0)	10.0 (8.0, 15.0)	10.0 (8.0, 14.0)	0.003		
Direct bilirubin in μmol/L	3.5 (2.0, 6.0)	4.0 (3.0, 6.0)	3.0 (2.0, 5.0)	4.0 (3.0, 6.0)	0.223		
Albumin in g/L	40.7 (37.3, 43.7)	39.8 (36.3, 43.3)	41.3 (38.3, 43.9)	40.7 (37.6, 43.9)	0.063		
ALT in IU/L	36.0 (25.0, 55.0)	38.0 (27.0, 53.0)	36.0 (24.3, 54.0)	36.0 (24.3, 54.0) 34.0 (21.0, 55.0)			
AST in IU/L	37.0 (27.0, 53.0)	39.0 (31.0, 54.0)	34.0 (26.3, 48.0) 37.0 (25.0, 59.0		0.111		
Alkaline phosphatase in IU/L	94.0 (75.0, 115.0)	96.0 (78.3, 113)	89.0 (74.0, 112.0)				
$\gamma$ -GT in IU/L	54.0 (33.0, 106.0)	53.5 (31.3, 117.0)	53.0 (35.0, 90.0)	62.0 (33.5, 127.5)	0.413		
Blood glucose in mmol/L	5.9 (5.0, 7.3)	5.7 (4.8, 7.2)	6.0 (5.1, 7.1)	6.1 (5.1, 7.5)	0.371		
Creatinine in μmol/L	67.0 (56.3, 76.0)	67.0 (58.0, 78.0)	68.0 (57.0, 76.8)	66.0 (55.0, 75.0)	0.434		
Serum sodium in mmol/L	141.0 (139.0, 142.0)	140.0 (139.0, 142.0)	141.0 (139.0, 143.0)	141.0 (138.0, 142.5)	0.355		
PT in s	13.9 (13.3, 14.7)	14.4 (13.6, 15.2)	13.7 (13.2, 14.4)	13.7 (13.1, 14.3)	< 0.001		
PTA in %	88.2 ± 13.7	83.4 ± 14.2	89.7 ± 11.9	$91.3 \pm 13.6$	<0.001		
INR	1.1 (1.0, 1.2)	1.1 (1.1, 1.2)	1.1 (1.0, 1.1)	1.1 (1.0, 1.1)	<0.001		
White blood cell in 10 <sup>9</sup> /L	5.3 (4.2, 6.7)	4.9 (3.6, 6.1)	5.7 (4.4, 6.8)	5.2 (4.3, 7.0)	0.002		
AFP in ng/mL	30.7 (5.4, 447.9)	34.4 (6.2, 343.1)	36.9 (5.3, 430.7)	23.2 (5.0, 596.3)	0.984		
Uric acid in $\mu$ mol/L	299.4 ± 88.6	313.0 ± 91.2	296.4 ± 80.0	289.0 ± 92.8	0.047		
Platelet in 10 <sup>9</sup> /L	$138.6 \pm 63.5$	96 ± 42.8	$138.2 \pm 47.1$	$181.3 \pm 66.4$	<0.001		

(continued)

# Huang G.Q. et al: Stratified PLR predicting CLR-HCC mortality

Table 1. (continued)

		PLR tertiles					
Variables	All patients	Tertile 1, n = 160 [11.98-75.00]	Tertile 2, n = 160 [75.00-113.33]	Tertile 3, n = 161 [113.33-567.50]	<i>p</i> -value		
Tumor characteristic	S						
Number of nodules, n (%)					0.682		
1	403 (87.6%)	134 (83.8%)	133 (85.3%)	136 (88.3%)			
2	39 (8.5%)	12 (7.5%)	16 (10.3%) 11 (7.1%)				
3	8 (1.7%)	3 (1.9%)	3 (1.9%)	1.9%) 2 (1.3%)			
≥4	10 (2.2%)	1 (0.6%)	4 (2.6%) 5 (3.2%)				
Greatest tumor diameter in mm	40.0 (30.0, 60.0)	30.0 (20.0, 50.0)	40.0 (30.0, 57.5)	57.5) 50.0 (30.0, 80.0)			
Portal vein thrombosis, <i>n</i> (%)	12 (3.0%)	2 (1.5%)	2 (1.4%) 8 (6.1%)		0.054		
Microvascular invasion, <i>n</i> (%)	121 (25.4%)	42 (26.4%)	41 (25.9%)	38 (23.8%)	0.843		
CLIP score, n (%)					< 0.001		
0	177 (45.3%)	67 (51.1%)	60 (44.1%)	50 (40.3%)			
1	85 (21.7%)	34 (26%)	37 (27.2%)	14 (11.3%)			
2	72 (18.4%)	21 (16%)	24 (17.6%)	27 (21.8%)			
3	42 (10.7%)	7 (5.3%)	11 (8.1%)	24 (19.4%)			
4	14 (3.6%)	2 (1.5%)	4 (2.9%)	8 (6.5%)			
5	1 (0.3%)	0	0	1 (0.8%)			
CTP score, n (%)					0.455		
А	338 (83.9%)	107 (79.9%)	120 (87%)	111 (84.7%)			
В	57 (14.1%)	23 (17.2%)	17 (12.3%)	17 (13.0%)			
С	8 (2.0%)	4 (3.0%)	1 (0.7%)	3 (2.3%)			
Follow-up data							
Death within 36 months of resection					0.003		
Alive	145 (61.2%)	60 (71.4%)	51 (64.6%)	34 (45.9%)			
Deceased	92 (38.8%)	24 (28.6%)	28 (35.4%)	40 (54.1%)			

Abbreviations: ALT, alanine aminotransferase; AST, aspartate aminotransferase;  $\gamma$ -GT,  $\gamma$ -glutamyl transferase; PLR, platelet-lymphocyte ratio; PT, prothrombin time; PTA, prothrombin time activity; AFP, alpha-fetoprotein; INR, international normalized ratio; CLIP, Cancer of The Liver Italian Program; CTP, Child-Turcotte-Pugh.

by which the immune response may be triggered via a tumor is complex,<sup>30</sup> and numerous research projects focused on underlying mechanism that associates disease prognosis and tumor inflammation have been undertaken.<sup>17,31</sup> Recently, accumulative evidence have demonstrated that increased systemic inflammation is associated with poor prognosis in various kinds of cancers, including pancreatic cancer and ovarian cancer.<sup>32</sup> Biomarkers of systemic inflammation such as PLR, elevated NLR, and absolute monocyte counts have a potential role in guiding the clinical management of cancer patients, across a range of malignancies.<sup>19</sup> PLR is a basic marker of systemic inflammation and can be easily obtained from routine blood cell testing.<sup>23</sup> Previous studies have confirmed that a high preoperative PLR was associated with poor prognosis in patients with non-metastatic non-small cell lung cancer,<sup>33</sup> resectable small cell carcinoma of the esophagus and HCC.<sup>24,34</sup> Additionally, the level of PLR is a widely accepted independent predictor for OS in patients with advanced HCC.<sup>24</sup> We stratified PLR as first to predict prognosis in HCC patients after CLR. We also analyzed whether this could be useful to predict a better performance. We found that the presence of elevated pre-operative PLR was associated with poor survival, which is consistent with the systematic review and clinical trial which reported that a high PLR is associated with worse OS in various solid tumors including HCC.<sup>35,36</sup>

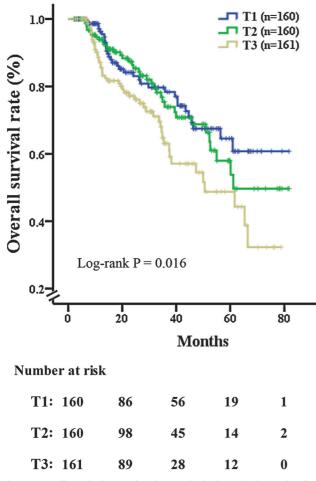
The elevated peripheral blood platelet counts might reflect the tumor-induced systemic inflammatory response.<sup>37</sup> Platelet aggregation and degranulation, along with the consequent

	Univariate analysis				Multivariate analysis			
Variables	В	HR	95%CI	<i>p</i> -value	В	HR	95%CI	<i>p</i> -value
PLR	0.003	1.003	1.001-1.006	0.015	0.004	1.004	1.001-1.008	0.006
Demographic parameters								
Age in years	0.007	1.007	0.991-1.024	0.399				
Sex	-0.206	0.814	0.466-1.423	0.470				
BMI	0.044	1.045	0.986-1.108	0.134				
<b>Clinical parameters</b>								
Ascites	0.464	1.591	1.189-2.127	0.002				
Liver cirrhosis	0.216	1.241	0.834-1.845	0.287				
Laboratory parameters								
Total bilirubin in $\mu$ mol/L	0.004	1.004	0.998-1.010	0.152				
Direct bilirubin in $\mu$ mol/L	0.006	1.006	0.998-1.013	0.135				
Albumin in g/L	-0.061	0.941	0.913-0.969	< 0.001				
ALT in IU/L	0.002	1.002	1.000-1.004	0.056				
AST in IU/L	0.001	1.001	1.000-1.002	0.019				
Alkaline phosphatase in IU/L	0.002	1.002	1.000-1.003	0.030				
γ-GT in IU/L	0.001	1.001	1.000-1.002	0.067				
Blood glucose in mmol/L	0.033	1.033	0.983-1.086	0.198				
Creatinine in $\mu$ mol/L	-0.004	0.996	0.987-1.006	0.470				
Uric acid in $\mu$ mol/L	-0.001	0.999	0.997-1.002	0.635				
Serum sodium in mmol/L	0.004	1.004	0.999-1.009	0.160				
PT in s	0.149	1.160	1.021-1.319	0.022				
PTA in %	-0.012	0.988	0.975-1.001	0.071				
INR	0.009	1.009	0.989-1.029	0.375				
White blood cell in 10 <sup>9</sup> /L	0.027	1.027	1.01-1.044	0.002				
Platelet in 10 <sup>9</sup> /L	0.002	1.002	0.999-1.004	0.309				
AFP in ng/mL	0.000	1.000	1.000-1.000	0.244				
Tumor characteristics								
Number of nodules	0.435	1.545	1.202-1.987	0.001	0.594	1.810	1.345-2.437	< 0.001
Greatest tumor diameter in mm	0.007	1.007	1.001-1.012	0.015				
Portal vein thrombosis	1.880	6.554	2.619-16.401	< 0.001	1.226	3.406	1.185-9.794	0.023
Microvascular invasion	0.921	2.512	1.749-3.606	<0.001	1.004	2.730	1.777-4.196	<0.001
CLIP score	0.423	1.527	1.290-1.809	<0.001				
CTP score	0.686	1.986	1.346-2.932	0.001	0.554	1.741	1.129-2.684	0.012

Abbreviations: B, coefficient; HR, hazard ratio; CI, confidence interval; ALT, alanine aminotransferase; AST, aspartate aminotransferase;  $\gamma$ -GT,  $\gamma$ -glutamyl transferase; PLR, platelet-lymphocyte ratio; PT, prothrombin time; PTA, prothrombin time activity; AFP, alpha-fetoprotein; INR, international normalized ratio; CLIP, Cancer of The Liver Italian Program; CTP, Child-Turcotte-Pugh.

release of platelet-derived growth factor, platelet-derived proangiogenic mediators, vascular endothelial growth factor and angiopoetin-1, have been verified as important determinants of tumor growth and probably angiogenesis.<sup>38–40</sup> Previous studies have confirmed that activated platelets impel tumor cell escape from immune elimination by promoting their arrest in the endothelium, thereby causing the secondary lesions.<sup>24,41,42</sup> Platelets may also promote the growth and spread of malignancies through non-inflammatory

mechanisms, including stimulation of metalloproteinase-9 synthesis, and production of adhesion molecules and growth factors (such as EGF, VEGF, TGFb and PDGF).<sup>43-45</sup> Carr *et al.*'s<sup>46</sup> study suggested that platelets could also stimulate the growth and invasion of several HCC cell lines *in vitro*. These studies indicate that platelets may lead to accelerated tumor metastasis and progression in cancers. Therefore, the underlying mechanisms of the interactions of platelet-tumor cells need to be studied more extensively, for the purpose of



**Fig. 2.** Overall survival rate of patients who had received curative liver resection, stratified by tertile of PLR. The log-rank *p*-value among all three tertiles was 0.016. (T1) 11.98–75.00, (T2) 75.00–113.33 and (T3) 113.33–567.50. Patients with the lowest tertile of PLR (T1) had favorable 5-year survival following surgery (58%); however, those in the tertile of PLR (T3) had poor outcomes (30%).

providing more appropriate treatment plans for individual patients in high-risk situations for HCC.

In recent years, some studies in oncology have explored whether a better effect on disease prognosis can be achieved by stratifying the independent predictor. For instance, Blank et al.47 categorized AFP into quintiles and created the opportunity to observe differences in outcomes among HBV-HCC patients after surgical resection. And, another study categorized patients into equal tertiles according to their baseline of NLR and PLR, demonstrating that an elevated pretreatment NLR is an independent predictor of both worse overall and disease-free survival in colorectal cancer.48 In this study, based on the fact that PLR is a widely accepted HCC risk factor, we categorized PLR into equal tertiles to investigate whether any enhanced predictive effect was detected. Consequently, we gained greater confidence in being able to predict clinical outcome. The Kaplan-Meier curve analyses revealed that patients with the highest tertile of PLR (a 5-year survival of 30%) had significantly shorter OS compared to those with the lowest tertile of PLR (a 5-year survival of 58%). These new categories have shown significant and

distinct survival outcomes in HCC patients after CLR. We believe it may be helpful in guiding the clinician to predict the prognosis of cancer and in selecting the most appropriate treatment or palliative care to improve survival rate. Hence, our study suggests that the stratification of PLR could independently and reliably predict the disease prognosis for suspected HCC patients after CLR.

This study has several limitations. First of all, the findings include a relatively homogeneous patient cohort and may not be applicable to HCC patients who received other therapies or surgeries. Moreover, additional large-scale clinical research studies are needed to confirm these findings and to evaluate the effect of categorizing PLR on patients who underwent CLR for suspected HCC. Finally, in view of recurrence after resection being an important prognostic factor, we intend to further record more data in the future.

In summary, this study highlights the potential of PLR as an additional prognostic tool and performs for the first time a categorization of HCC patients with preoperative PLR into tertiles, with significantly improved outcomes among HCC patients following CLR. We suggest that clinicians should consider the level of preoperative PLR as a helpful tool to select the most appropriate therapy scheme for their HCC patients.

## Acknowledgments

This work was supported by grants from the National Natural Science Foundation of China (No. 81500665), Scientific Research Foundation of Wenzhou (No. Y20160223), High Level Creative Talents from Department of Public Health in Zhejiang Province, and the Project of New Century 551 Talent Nurturing in Wenzhou to MH Zheng. No writing assistance was obtained for this manuscript.

## **Conflict of interest**

The authors have no conflict of interests related to this publication.

#### **Author contributions**

Data collection, analysis and interpretation, and drafting of the manuscript (GQH), data collection, preparation of figures and drafting of the manuscript (JNZ), data collection and preparation of figures (TTZ, YRC, LYR), data collection and statistical analysis (KQS, ZC), drafting of the manuscript (SVP), design of the study, obtainment of funding and drafting of the manuscript (MHZ), all authors saw and approved the final version of the paper.

## References

- Maluccio M, Covey A. Recent progress in understanding, diagnosing, and treating hepatocellular carcinoma. CA Cancer J Clin 2012;62:394–399. doi: 10.3322/caac.21161.
- [2] Ferenci P, Fried M, Labrecque D, Bruix J, Sherman M, Omata M, et al. Hepatocellular carcinoma (HCC): a global perspective. J Clin Gastroenterol 2010; 44:239–245. doi: 10.1097/MCG.0b013e3181d46ef2.
- [3] Torre LA, Bray F, Siegel RL, Ferlay J, Lortet-Tieulent J, Jemal A. Global cancer statistics, 2012. CA Cancer J Clin 2015;65:87–108. doi: 10.3322/caac.21262.
- [4] Poon RT, Fan ST, Lo CM, Liu CL, Wong J. Long-term survival and pattern of recurrence after resection of small hepatocellular carcinoma in patients with preserved liver function: implications for a strategy of salvage transplantation. Ann Surg 2002;235:373–382. doi: 10.1097/00000658-200203000-00009.

- [5] Yi NJ, Suh KS, Kim T, Kim J, Shin WY, Lee KU. Current role of surgery in treatment of early stage hepatocellular carcinoma: resection versus liver transplantation. Oncology 2008;75 Suppl 1:124–128. doi: 10.1159/000173434.
- [6] Fan ST, Lo CM, Liu CL, Lam CM, Yuen WK, Yeung C, *et al*. Hepatectomy for hepatocellular carcinoma: toward zero hospital deaths. Ann Surg 1999;229: 322–330.
- [7] Du ZG, Wei YG, Chen KF, Li B. Risk factors associated with early and late recurrence after curative resection of hepatocellular carcinoma: a single institution's experience with 398 consecutive patients. Hepatobiliary Pancreat Dis Int 2014;13:153–161. doi: 10.1016/S1499-3872(14)60025-4.
- [8] Zhong JH, Li H, Li LQ, You XM, Zhang Y, Zhao YN, et al. Adjuvant therapy options following curative treatment of hepatocellular carcinoma: a systematic review of randomized trials. Eur J Surg Oncol 2012;38:286–295. doi: 10. 1016/j.ejso.2012.01.006.
- [9] Kim DY, Han KH. Epidemiology and surveillance of hepatocellular carcinoma. Liver Cancer 2012;1:2–14. doi: 10.1159/000339016.
- [10] Mittal S, El-Serag HB. Epidemiology of hepatocellular carcinoma: consider the population. J Clin Gastroenterol 2013;47 Suppl:S2–6. doi: 10.1097/ MCG.0b013e3182872f29.
- [11] Tan CK, Law NM, Ng HS, Machin D. Simple clinical prognostic model for hepatocellular carcinoma in developing countries and its validation. J Clin Oncol 2003;21:2294–2298. doi: 10.1200/JCO.2003.03.151.
- [12] Schöniger-Hekele M, Müller C, Kutilek M, Oesterreicher C, Ferenci P, Gangl A. Hepatocellular carcinoma in Central Europe: prognostic features and survival. Gut 2001;48:103–109. doi: 10.1136/gut.48.1.103.
- [13] Minagawa M, Makuuchi M. Treatment of hepatocellular carcinoma accompanied by portal vein tumor thrombus. World J Gastroenterol 2006;12:7561– 7567. doi: 10.3748/wjg.v12.i47.7561.
- [14] Prajapati HJ, Xing M, Spivey JR, Hanish SI, El-Rayes BF, Kauh JS, et al. Survival, efficacy, and safety of small versus large doxorubicin drug-eluting beads TACE chemoembolization in patients with unresectable HCC. AJR Am J Roentgenol 2014;203:W706–W714. doi: 10.2214/AJR.13.12308.
- [15] Poon RT, Fan ST, Ng IO, Lo CM, Liu CL, Wong J. Different risk factors and prognosis for early and late intrahepatic recurrence after resection of hepatocellular carcinoma. Cancer 2000;89:500–507. doi: 10.1002/1097-0142 (20000801)89:3<500::AID-CNCR4>3.0.CO;2-O.
- [16] Morris-Stiff G, Gomez D, Prasad KR. C-reactive protein in liver cancer surgery. Eur J Surg Oncol 2008;34:727–729. doi: 10.1016/j.ejso.2008.01.016.
- [17] Coussens LM, Werb Z. Inflammation and cancer. Nature 2002;420:860–867. doi: 10.1038/nature01322.
- [18] Balkwill F, Mantovani A. Inflammation and cancer: back to Virchow? Lancet 2001;357:539–545. doi: 10.1016/S0140-6736(00)04046-0.
- [19] Clarke SJ, Chua W, Moore M, Kao S, Phan V, Tan C, *et al*. Use of inflammatory markers to guide cancer treatment. Clin Pharmacol Ther 2011;90:475–478. doi: 10.1038/clpt.2011.122.
- [20] Smith RA, Bosonnet L, Raraty M, Sutton R, Neoptolemos JP, Campbell F, et al. Preoperative platelet-lymphocyte ratio is an independent significant prognostic marker in resected pancreatic ductal adenocarcinoma. Am J Surg 2009;197:466–472. doi: 10.1016/j.amjsurg.2007.12.057.
- [21] Raungkaewmanee S, Tangjitgamol S, Manusirivithaya S, Srijaipracharoen S, Thavaramara T. Platelet to lymphocyte ratio as a prognostic factor for epithelial ovarian cancer. J Gynecol Oncol 2012;23:265–273. doi: 10.3802/jgo. 2012.23.4.265.
- [22] Gunduz S, Mutlu H, Tural D, Yıldız Ö, Uysal M, Coskun HS, et al. Platelet to lymphocyte ratio as a new prognostic for patients with metastatic renal cell cancer. Asia Pac J Clin Oncol 2015;11:288–292. doi: 10.1111/ajco.12358.
- [23] Xia W, Ke Q, Wang Y, Wang W, Zhang M, Shen Y, et al. Predictive value of pre-transplant platelet to lymphocyte ratio for hepatocellular carcinoma recurrence after liver transplantation. World J Surg Oncol 2015;13:60. doi: 10.1186/ s12957-015-0472-2.
- [24] Li X, Chen ZH, Xing YF, Wang TT, Wu DH, Wen JY, *et al.* Platelet-to-lymphocyte ratio acts as a prognostic factor for patients with advanced hepatocellular carcinoma. Tumour Biol 2015;36:2263–2269. doi: 10.1007/s13277-014-2833-9.
- [25] Kinoshita A, Onoda H, Imai N, Iwaku A, Oishi M, Fushiya N, et al. Comparison of the prognostic value of inflammation-based prognostic scores in patients with hepatocellular carcinoma. Br J Cancer 2012;107:988–993. doi: 10.1038/bjc. 2012.354.
- [26] Pinato DJ, Stebbing J, Ishizuka M, Khan SA, Wasan HS, North BV, et al. A novel and validated prognostic index in hepatocellular carcinoma: the inflammation based index (IBI). J Hepatol 2012;57:1013–1020. doi: 10.1016/j.jhep.2012. 06.022.
- [27] Vauthey JN, Lauwers GY, Esnaola NF, Do KA, Belghiti J, Mirza N, et al. Simplified staging for hepatocellular carcinoma. Simplified staging for hepatocel-

## Huang G.Q. et al: Stratified PLR predicting CLR-HCC mortality

lular carcinoma. J Clin Oncol 2002;20:1527–1536. doi: 10.1200/JCO.2002. 20.6.1527.

- [28] Li C, Wen TF, Yan LN, Li B, Wang WT, Yang JY, et al. Postoperative neutrophilto-lymphocyte ratio plus platelet-to-lymphocyte ratio predicts the outcomes of hepatocellular carcinoma. J Surg Res 2015;198:73–79. doi: 10.1016/j. jss.2015.05.003.
- [29] Bhatti I, Peacock O, Lloyd G, Larvin M, Hall RI. Preoperative hematologic markers as independent predictors of prognosis in resected pancreatic ductal adenocarcinoma: neutrophil-lymphocyte versus platelet-lymphocyte ratio. Am J Surg 2010;200:197–203. doi: 10.1016/j.amjsurg.2009.08.041.
- [30] Walsh SR, Cook EJ, Goulder F, Justin TA, Keeling NJ. Neutrophil-lymphocyte ratio as a prognostic factor in colorectal cancer. J Surg Oncol 2005;91:181– 184. doi: 10.1002/jso.20329.
- [31] Mantovani A, Allavena P, Sica A, Balkwill F. Cancer-related inflammation. Nature 2008;454:436-444. doi: 10.1038/nature07205.
- [32] Domínguez I, Fernández-del Castillo C. Preoperative platelet-lymphocyte ratio in resected pancreatic ductal carcinoma: is it meaningful? Am J Surg 2012;203:412. doi: 10.1016/j.amjsurg.2009.05.022.
- [33] Unal D, Eroglu C, Kurtul N, Oguz A, Tasdemir A. Are neutrophil/lymphocyte and platelet/lymphocyte rates in patients with non-small cell lung cancer associated with treatment response and prognosis? Asian Pac J Cancer Prev 2013;14:5237–5242. doi: 10.7314/APJCP.2013.14.9.5237.
- [34] Feng JF, Huang Y, Zhao Q, Chen QX. Clinical significance of preoperative neutrophil lymphocyte ratio versus platelet lymphocyte ratio in patients with small cell carcinoma of the esophagus. Scientific World Journal 2013; 2013:504365. doi: 10.1155/2013/504365.
- [35] Templeton AJ, Ace O, McNamara MG, Al-Mubarak M, Vera-Badillo FE, Hermanns T, et al. Prognostic role of platelet to lymphocyte ratio in solid tumors: a systematic review and meta-analysis. Cancer Epidemiol Biomarkers Prev 2014;23:1204–1212. doi: 10.1158/1055-9965.EPI-14-0146.
- [36] Lai Q, Castro Santa E, Rico Juri JM, Pinheiro RS, Lerut J. Neutrophil and platelet-to-lymphocyte ratio as new predictors of dropout and recurrence after liver transplantation for hepatocellular cancer. Transpl Int 2014;27: 32–41. doi: 10.1111/tri.12191.
- [37] Krenn-Pilko S, Langsenlehner U, Thurner EM, Stojakovic T, Pichler M, Gerger A, et al. The elevated preoperative platelet-to-lymphocyte ratio predicts poor prognosis in breast cancer patients. Br J Cancer 2014;110:2524–2530. doi: 10.1038/bjc.2014.163.
- [38] Möhle R, Green D, Moore MA, Nachman RL, Rafii S. Constitutive production and thrombin-induced release of vascular endothelial growth factor by human megakaryocytes and platelets. Proc Natl Acad Sci U S A 1997;94:663–668.
- [39] Kepner N, Lipton A. A mitogenic factor for transformed fibroblasts from human platelets. Cancer Res 1981;41:430–432.
- [40] Nierodzik ML, Karpatkin S. Thrombin induces tumor growth, metastasis, and angiogenesis: Evidence for a thrombin-regulated dormant tumor phenotype. Cancer Cell 2006;10:355–362. doi: 10.1016/j.ccr.2006.10.002.
- [41] Buergy D, Wenz F, Groden C, Brockmann MA. Tumor-platelet interaction in solid tumors. Int J Cancer 2012;130:2747–2760. doi: 10.1002/ijc.27441.
- [42] Gay LJ, Felding-Habermann B. Contribution of platelets to tumour metastasis. Nat Rev Cancer 2011;11:123–134. doi: 10.1038/nrc3004.
- [43] Suzuki K, Aiura K, Ueda M, Kitajima M. The influence of platelets on the promotion of invasion by tumor cells and inhibition by antiplatelet agents. Pancreas 2004;29:132–140.
- [44] Egan K, Crowley D, Smyth P, O'Toole S, Spillane C, Martin C, et al. Platelet adhesion and degranulation induce pro-survival and pro-angiogenic signalling in ovarian cancer cells. PLoS One 2011;6:e26125. doi: 10.1371/journal. pone.0026125.
- [45] Neofytou K, Smyth EC, Giakoustidis A, Khan AZ, Cunningham D, Mudan S. Elevated platelet to lymphocyte ratio predicts poor prognosis after hepatectomy for liver-only colorectal metastases, and it is superior to neutrophil to lymphocyte ratio as an adverse prognostic factor. Med Oncol 2014;31:239. doi: 10.1007/s12032-014-0239-6.
- [46] Carr BI, Cavallini A, D'Alessandro R, Refolo MG, Lippolis C, Mazzocca A, et al. Platelet extracts induce growth, migration and invasion in human hepatocellular carcinoma in vitro. BMC Cancer 2014;14:43. doi: 10.1186/1471-2407-14-43.
- [47] Blank S, Wang Q, Fiel MI, Luan W, Kim KW, Kadri H, et al. Assessing prognostic significance of preoperative alpha-fetoprotein in hepatitis B-associated hepatocellular carcinoma: normal is not the new normal. Ann Surg Oncol 2014;21: 986–994. doi: 10.1245/s10434-013-3357-z.
- [48] Azab B, Mohammad F, Shah N, Vonfrolio S, Lu W, Kedia S, et al. The value of the pretreatment neutrophil lymphocyte ratio vs. platelet lymphocyte ratio in predicting the long-term survival in colorectal cancer. Cancer Biomark 2014; 14:303–312. doi: 10.3233/CBM-140416.